

# Finite Fields



<https://iuk.one/1033-1010>

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Version 4



1. Some Algebra Basics
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## 1. Some Algebra Basics

Let us review some algebra.

## 1. Some Algebra Basics

### 2. Polynomials

### 3. Galois Fields

### 4. Lagrange Interpolation

# 1. Some Algebra Basics

## Group

A **group** is a pair  $(\mathbb{G}, \star)$  consisting of

- ① a non-empty set  $\mathbb{G}$  and
- ② a **binary operation**  $\star: \mathbb{G} \times \mathbb{G} \rightarrow \mathbb{G}$

such that the following **axioms** are fulfilled:

- ①  $\star$  is **associative**:
- ②  $\star$  has a **neutral element**:
- ③  $\star$  has **inverse elements**:

$$\forall a, b, c \in \mathbb{G}: a \star (b \star c) = (a \star b) \star c$$

$$\exists n_{\star} \in \mathbb{G}: \forall a \in \mathbb{G}: a \star n_{\star} = n_{\star} \star a = a$$

$$\forall a \in \mathbb{G}: \exists b \in \mathbb{G}: a \star b = b \star a = n_{\star}$$

A group is called **commutative** or **Abelian**, iff  $\forall a, b \in \mathbb{G}: a \star b = b \star a$ .

**Examples:**  $(\mathbb{R}, +)$ ,  $(\mathbb{R} \setminus \{0\}, \cdot)$

# 1. Some Algebra Basics

## Field

A **field** is a triple  $(\mathbb{F}, +, \cdot)$  consisting of

- ① a set  $\mathbb{F}$  consisting of at least two elements
- ② a binary operation  $+ : \mathbb{F} \times \mathbb{F} \rightarrow \mathbb{F}$ , which is called **addition**, and
- ③ a binary operation  $\cdot : \mathbb{F} \times \mathbb{F} \rightarrow \mathbb{F}$ , which is called **multiplication**

such that the following **axioms** are fulfilled:

- ①  $(\mathbb{F}, +)$  is an **Abelian group**.
- ②  $(\mathbb{F} \setminus \{n_+\}, \cdot)$  is an **Abelian group**.
- ③ **Multiplication distributes over addition:**  $\forall a, b, c \in \mathbb{F} : a \cdot (b + c) = (a \cdot b) + (a \cdot c)$

**Common notation:**  $0 = n_+$  and  $1 = n.$  and  $\mathbb{F}^* = \mathbb{F} \setminus \{n_+\}$

**Examples:**  $(\mathbb{R}, +, \cdot)$ ,  $(\mathbb{Q}, +, \cdot)$ ,  $(\mathbb{C}, +, \cdot)$

# Ring

A (**commutative, unitary**) **ring** is *nearly* a field  $(\mathbb{E}, +, \cdot)$ . The *only* thing which is missing is that we do *not* require the multiplicative structure to have inverse elements.

More formally, a **commutative, unitary ring** requires a structure  $(\mathbb{E}, +, \cdot)$  to fulfill the following axioms:

- ①  $(\mathbb{E}, +)$  is an **Abelian group**.
- ②  $(\mathbb{E}, \cdot)$  is a **commutative monoid** (**Associative** and having a **neutral element**).
- ③ **Multiplication distributes over addition:**  $\forall a, b, c \in \mathbb{E} : a \cdot (b + c) = (a \cdot b) + (a \cdot c)$

**Commutative** indicates that the multiplication is commutative.

This is not always guaranteed: Matrices are the prominent counterexample.

**Unitary** indicates that the multiplication has a neutral element.

## 1. Some Algebra Basics

# Modulo Operations as Example for Rings and Fields

Let  $n \in \mathbb{Z}^+$  be a positive integer,  $a, b$  range over  $\mathbb{Z}$ .

Denote by  $\sim_n$  the **equivalence relation** modulo  $n$ :  $a \sim_n b$  iff  $\exists k \in \mathbb{Z} : (a - b) = k \cdot n$

Denote by  $\mathbb{Z}_n$  the set of all **equivalence classes**  $[x]_n$  modulo  $n$ .

$$[0]_3 = \{\dots, -6, -3, 0, 3, 6, \dots\}$$

For example for  $n = 3$  we get:  $[1]_3 = \{\dots, -5, -2, 1, 4, 7, \dots\}$   
 $[2]_3 = \{\dots, -4, -1, 2, 5, 8, \dots\}$

Define on  $\mathbb{Z}_n$  **operations**  $[a]_n +_n [b]_n := [a + b]_n$  and  $[a]_n \cdot_n [b]_n := [a \cdot b]_n$ .

For example  $[3]_7 +_7 [2]_7 = [5]_7$  and  $[4]_7 +_7 [5]_7 = [9]_7 = [2]_7$ .

$\mathbb{Z}_n$  with the operations  $+_n$  and  $\cdot_n$  is a **ring**.

If  $n$  is a prime number, it even is a **field**.

# Bezout Identity

## Bezout Identity

The greatest common divisor  $g = \gcd(a, b)$  of two integers  $a, b$  can be written as integer linear combination  $g = \alpha \cdot a + \beta \cdot b$  of these two numbers.

### Algorithm:

- ① **Input:**  $a$  and  $b$  in  $\mathbb{Z}^+$ .
- ② Use the **Euclidean algorithm** for calculating the **gcd**.
- ③ Run the algorithm **backwards** from the result.
- ④ **Output:**  $\alpha$  and  $\beta$  in  $\mathbb{Z}$  such that  $\gcd(a, b) = \alpha \cdot a + \beta \cdot b$

# 1. Some Algebra Basics

## Example: Bezout Identity

Calculate the Bezout Identity for  $\gcd(228, 174)$ . We use the Euclidean algorithm:

$$228 = 1 \cdot 174 + 54 \tag{1}$$

$$174 = 3 \cdot 54 + 12 \tag{2}$$

$$54 = 4 \cdot 12 + 6 \tag{3}$$

$$12 = 2 \cdot 6 + 0 \quad \text{the gcd is } 6 \tag{4}$$

$$6 = 1 \cdot 54 - 4 \cdot 12 \quad \text{from (3)} \quad \text{we get from (2) } 12 = 1 \cdot 174 - 3 \cdot 54$$

$$6 = -4 \cdot 174 + 13 \cdot 54 \quad \text{we get from (1) } 54 = 1 \cdot 228 - 1 \cdot 174$$

$$6 = 13 \cdot 228 - 17 \cdot 174 \quad \text{Done!}$$

**Done:** We obtained the gcd 6 as linear combination of 228 and 174 :

$$6 = 13 \cdot 228 - 17 \cdot 174$$

# Modulo-Division

## Proposition: Modulo-Division

Let the non-zero integers  $x$  and  $n$  be relatively prime. Then there exists an integer  $y$  such that  $x \cdot y \equiv 1 \pmod{n}$ . The integer  $y$  is called the **inverse to  $x$  modulo  $n$** .

**Note:**  $a \sim_n b$  often also is written  $a \equiv b \pmod{n}$

### Proof:

Let  $x$  and  $n$  be relatively prime. Then  $\gcd(x, n) = 1$

According to the Bezout Lemma there exist  $\alpha, \beta$  that  $1 = \alpha \cdot x + \beta \cdot n$

Looking at this equation modulo  $n$  we get  $1 \equiv \alpha \cdot x + 0 \pmod{n} \equiv \alpha \cdot x$

Thus:  $\alpha$  is the multiplicative inverse of  $x \pmod{n}$ .

**Consequence:** We just demonstrated that  $(\mathbb{Z}_p, +_p, \cdot_p)$  is a field when  $p$  is prime.

## Task: Revise Prime Fields

Tasks::

- Calculate the inverse of 5 modulo 9.
- Is there an inverse for 6 modulo 9?
- $(\mathbb{Z}_6, +_6, \cdot_6)$  is not a field. Why? Give a precise counterexample!
- $(\mathbb{Z}_4, +_4, \cdot_4)$  is not a field. Why? Give a precise counterexample!

Show: When there is an inverse to  $x$  modulo  $n$ , then  $x$  and  $n$  must be relatively prime.

## 2. Polynomials

Expressions with variables  
...well, in a certain sense.

### 1. Some Algebra Basics

### 2. Polynomials

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## 2. Polynomials

### What is a polynomial?

A polynomial is an “expression of the form”  $3X^2 + 2X + 4$  where we “calculate with” the  $X$  “as if it were a number”.

#### Evaluation:

- Provides an intuition and motivation.
- As a definition, it is *complete garbage*.

A definition **must** be capable of answering all questions on the defined object via precise, systematic methods.

The above definition does not provide this.

Some mathematical definitions may look a bit awkward, to live up to that expectation.

## 2. Polynomials

### Polynomials (1)

Let  $(\mathbb{F}, +, \cdot)$  be a field. A polynomial of degree  $d$  with coefficients in  $\mathbb{F}$  is an **expression of the form**  $a_d \cdot \mathbb{X}^d + a_{d-1} \cdot \mathbb{X}^{d-1} + \dots + a_0 \cdot \mathbb{X}^0$  where  $d \in \mathbb{N}_0$ ,  $a_0, a_1, \dots, a_d \in \mathbb{F}$  and  $\mathbb{X}$  a symbol.

#### Definition of a Polynomial

A polynomial over  $\mathbb{F}$  is a  $d + 1$  tuple  $(a_d, a_{d-1}, \dots, a_1, a_0)$  of values in  $\mathbb{F}$ .

**Notation:**  $a_d \cdot \mathbb{X}^d + a_{d-1} \cdot \mathbb{X}^{d-1} + \dots + a_1 \cdot \mathbb{X}^1 + a_0 \cdot \mathbb{X}^0$

Denote by  $\mathbb{F}[\mathbb{X}]_d$  the set of all polynomials of degree  $d$ .

Denote by  $\mathbb{F}[\mathbb{X}]$  the set of all polynomials as union  $\mathbb{F}[\mathbb{X}] = \bigcup_{d \in \mathbb{N}_0} \mathbb{F}[\mathbb{X}]_d$

We can define operations  $+$  and  $\cdot$ :

$+ : \mathbb{F}[\mathbb{X}]_d \times \mathbb{F}[\mathbb{X}]_d \rightarrow \mathbb{F}[\mathbb{X}]_d$  and  $\cdot : \mathbb{F}[\mathbb{X}]_e \times \mathbb{F}[\mathbb{X}]_f \rightarrow \mathbb{F}[\mathbb{X}]_{e+f}$

## 2. Polynomials

### Polynomials (2)

$$(a_d, a_{d-1}, \dots, a_1, a_0) + (b_d, b_{d-1}, \dots, b_1, b_0) := (a_d + b_d, a_{d-1} + b_{d-1}, \dots, a_1 + b_1, a_0 + b_0)$$

**Observe:** Polynomials form a vector space!

$$\begin{aligned}(a_e, a_{e-1}, \dots, a_1, a_0) \cdot (b_f, b_{f-1}, \dots, b_1, b_0) &:= \\ (a_e \cdot b_f, a_{e-1}b_f + a_e b_{f-1}, \dots, a_1 b_0 + a_0 b_1, a_0 + b_0)\end{aligned}$$

**Note:** ... here is particularly imprecise – let us make it more precise:

**Number** at position  $k$  is  $\sum_{i,j \in \mathbb{N}_0, i+j=k} a_i b_j$

**Now:** Understanding the precise definition we fall back to intuitive notations.

$$\left( \sum_{i=0}^e a_i \mathbb{X}^i \right) \cdot \left( \sum_{j=0}^f b_j \mathbb{X}^j \right) = \sum_{k=0}^{e+f} \sum_{i,j \in \mathbb{N}_0, i+j=k} a_i b_j \mathbb{X}^k$$

**Observe:** Polynomials form a ring!

# Substitution into a Polynomial

**Substitution Homomorphism:**

- Let  $R$  be a commutative, unitary ring.
- Let  $S$  be another commutative, unitary ring.
- Let  $h: R \rightarrow S$  be a ring homomorphism, let  $s \in S$ .

Then there exists a unique ring homomorphism  $H: R[\mathbb{X}] \rightarrow S$  such that  $H(\mathbb{X}) = s$  and  $\forall r \in R : H(r) = h(r)$ .

**Special Case:**  $R = S$  and  $h = id$ .

**Example:** Let  $R = S = \mathbb{R}$ ,  $s = 3$ ,  $H(\mathbb{X}) = 3$ ,  $\forall y \in \mathbb{R} : H(y) = y$ . We get:  
$$H(\mathbb{X}^2 + 2\mathbb{X} + 4) = H(\mathbb{X}) \cdot H(\mathbb{X}) + H(2) \cdot H(\mathbb{X}) + H(4) = 3 \cdot 3 + 2 \cdot 3 + 4 = 19$$

**Non-mathematicians** say: Substituting 3 for  $\mathbb{X}$  in  $\mathbb{X}^2 + 2\mathbb{X} + 4$  gives 19.

## 2. Polynomials

### Ideal

Let  $(\mathbb{E}, +, \cdot)$  be a commutative, unitary ring. A subset  $I \subseteq \mathbb{E}$  is called an **ideal** iff

- ① it is **closed under addition**:  $\forall a, b \in I : a + b \in I$
- ② it is **closed under multiplication from  $\mathbb{E}$** :  $\forall a \in \mathbb{E}, x \in I : a \cdot x \in I$

**Example 1:** Consider the ring  $(\mathbb{Z}, +, \cdot)$ .

All numbers congruent 0 mod 3 form an ideal:

$$I = \{\dots, -6, -3, 0, 3, 6, \dots\} = \{\lambda \cdot 3 | \lambda \in \mathbb{Z}\}$$

All numbers congruent 2 mod 3 form **no** ideal.

Not closed under multiplication with  $0 \in \mathbb{Z}$ .

**Example 2:** Consider the ring  $(\mathbb{R}[\mathbb{X}], +, \cdot)$ .

All real multiples of a polynomial, eg.  $I = \{\lambda(\mathbb{X}^2 + 1) | \lambda \in \mathbb{R}\}$  form an ideal.

## 2. Polynomials

### Quotient Ring

Let  $(\mathbb{E}, +, \cdot)$  be a commutative, unitary ring and  $I \subseteq \mathbb{E}$  an ideal. Define an equivalence relation  $\sim \subseteq \mathbb{E} \times \mathbb{E}$  by  $a \sim b \Leftrightarrow a - b \in I$ . The set of equivalence classes of  $\sim$  is written  $\mathbb{E}/I$ . It can be given the structure of a ring.

**Example 1:**  $(\mathbb{Z}, +, \cdot)$  is a ring.

$I = \{\dots, -6, -3, 0, 3, 6, \dots\} = \{\lambda \cdot 3 \mid \lambda \in \mathbb{Z}\}$  is an ideal.

$a \sim b \Leftrightarrow a - b \in I$  means  $a \sim b$ , iff  $a - b$  is a multiple of 3.

$\mathbb{Z}/I$  is  $\{[0], [1], [2]\}$ ; of which we know it is a ring.

The construction of the ideal generalizes the modulo construction.

**Example 2:**  $(\mathbb{R}[\mathbb{X}], +, \cdot)$  is a ring.

$I = \{\lambda(\mathbb{X}^2 + 1) \mid \lambda \in \mathbb{R}\}$  is an ideal.

What is  $\mathbb{R}[\mathbb{X}]/I$ ?

It contains all of  $\mathbb{R}$ , all of  $r \cdot \mathbb{X}$  and all of  $r \cdot \mathbb{X} + s$

We get  $\mathbb{X} \cdot \mathbb{X} = -1$  since  $\mathbb{X}^2 + 1 = 0$

$r \cdot \mathbb{X} + s$  corresponds to  $r \cdot i + s$  and we just constructed the complex numbers.

## 2. Polynomials

### Irreducible Polynomials

A polynomial  $P \in \mathbb{F}[X]$  over a field is called **irreducible**, if the only divisors of  $P$  are  $a \cdot P$  and  $b$  with some  $a, b \in \mathbb{F}$ .

For a field  $\mathbb{F}$  and an **irreducible** polynomial  $P$  the quotient  $\mathbb{F}[X]/I$  with  $I = \{\lambda \cdot P \mid \lambda \in \mathbb{F}\}$  is a field.

**Compare:** When  $p$  is prime then the ring  $\mathbb{Z}_p$  is a field.

### 3. Galois Fields

Galois fields and polynomials with coefficients in Galois fields play an important role in cryptography and in coding theory.

What are they and how do they work?

#### 1. Some Algebra Basics

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# Characteristic, Order and Finite Fields

The **characteristic** of a field  $(\mathbb{F}, +, \cdot)$  is the smallest number  $c \in \mathbb{N}$  such that  $\underbrace{n_+ + n_+ + \dots + n_+}_c = n_+$  which in different notation is  $\underbrace{1 + 1 + \dots + 1}_c = 0$ .

**Remark:** The expression describing the characteristic sometimes is given as  $c * 1$  or  $c \cdot 1$ , without providing further information regarding the notation.

The first notation is bad, since it is not at all clear what  $*$  (or a similar symbol) is.

The second notation is bad, since  $\cdot$  is defined  $\mathbb{F} \times \mathbb{F} \rightarrow \mathbb{F}$  and not  $\mathbb{N} \times \mathbb{F} \rightarrow \mathbb{Z}$ .

Many then pretend they know what is meant.

In reality it is a disaster for those who want to understand what is meant.

If no such number exists, the field is said to have **characteristic 0**.

The **order** of a field  $(\mathbb{F}, +, \cdot)$  is the number of elements of the set  $\mathbb{F}$ .

A field is called **finite** or a **Galois field**, iff it has finite order.

### 3. Galois Fields

## Evariste Galois



**Fig. 1: Evariste Galois** (1811-1832), to the honor of whom finite fields are called Galois fields, died at the age of 20 years from the wounds of a duel. Despite his early death he is probably the most influential researcher in the field of algebra in his century.

### 3. Galois Fields

## Tasks: Familiarization with Characteristic

Show from the field axioms alone that  $\forall a \in \mathbb{F} : n_+ \cdot a = n_+$ .

(In more familiar notation this reads:  $0 \cdot a = 0$ )

Show:  $\forall a, b \in \mathbb{F} : a \cdot b = n_+ \Leftrightarrow a = n_+ \vee b = n_+$

Show: If a field has characteristic 0 then it must have infinitely many elements.

Hint: Look at the elements  $n.$ ,  $n. + n.$ ,  $n. + n. + n.$ , ... . Maybe these are the infinitely many elements! And what, if not?

Determine the characteristic of the field  $(\mathbb{Z}_5, +, \cdot)$ .

Show: If a field  $\mathbb{F}$  has characteristic  $q$  then  $q$  must be a prime.

Hint: Indirect proof. Assume that  $q = r \cdot s$ . Then look at  $\underbrace{n. + \dots n.}_{r \cdot s}$  and use the distributive law.

Show: If a field  $\mathbb{F}$  has characteristic  $p$ , then  $\forall a, b \in \mathbb{F} : (a + b)^p = a^p + b^p$ .

Hint: Use the binomial theorem and use the property that  $p$  is a prime.

## Theorem of Moore

- **Order:** The **order** of a finite field is a **prime power**  $p^k$
- **Existence:** For every prime power  $p^k$  there **exists** a field of order  $p^k$ .
- **Uniqueness:** All fields of order  $p^k$  are **isomorphic**.
- **Characteristic:** The **characteristic** of the finite field of order  $p^k$  is  $p$ .

### Core Consequence and Notation of $GF(p^k)$

The **Galois Field** of order  $p^k$  is uniquely defined by the value of  $p^k$ .

It is written as  $GF(p^k)$  and given by the set of  $\{0, 1, \mathbb{X}^2, \mathbb{X}^3, \dots, \mathbb{X}^{p^k-1}\}$  in  $GF(p)[\mathbb{X}]/P$  where  $P$  is an arbitrary irreducible polynomial in  $GF(p)[\mathbb{X}]$ .

**Proof:** Requires the theory of finite dimensional vector spaces over a field.

### 3. Galois Fields

## Galois Field GF(2)

The Galois Field  $GF(2)$  is given by the operations:

+	0	1
0	0	1
1	1	0

.	0	1
0	0	0
1	0	1

### Observations:

- It is identical with the prime field  $(\mathbb{Z}_2, +_2, \cdot_2)$ .
- We know that  $(\mathbb{Z}_2, +_2, \cdot_2)$  is a field.
- We know that finite fields are unique (Moore theorem).
- It is identical with the Boolean structure  $(\{0, 1\}, \oplus, \wedge)$  where  $\oplus$  denotes **exclusive or**.

# Galois Field GF(3)

The **Galois Field**  $GF(3)$  is given by the operations:

+	0	1	2
0	0	1	2
1	1	2	0
2	2	0	1

.	0	1	2
0	0	0	0
1	0	1	2
2	0	2	1

## Observations:

- It is identical with the **prime field**  $(\mathbb{Z}_3, +_3, \cdot_3)$ .
- We know that  $(\mathbb{Z}_3, +_3, \cdot_3)$  is a field.
- We know that finite fields are unique (Moore theorem).

# Galois Field GF(4) Construction (1)

**Watch out:**

- $GF(4)$  is **not** identical to  $(\mathbb{Z}_4, +_4, \cdot_4)$
- $(\mathbb{Z}_4, +_4, \cdot_4)$  is **not** a field!

**Thus:** Let's not write the elements as  $\{0, 1, 2, 3\}$ , this is confusing, but as  $\{0, 1, a, b\}$ .

From the laws of neutrality and from  $0 \cdot x = 0$  we get:

$+$	0	1	a	b	.	0	1	a	b
0	0	1	a	b	0	0	0	0	0
1	1				1	0	1	a	b
a	a				a	0	a		
b	b				b	0	b		

The rest must follow in a unique way (by the **Theorem of Moore**).

More precisely: Unique up to **isomorphism** (i.e. renaming).

### 3. Galois Fields

## Galois Field GF(4) Construction (2)

Since  $+$  is invertible, in every row and column we must find every value.

For  $1 + 1$  we must chose 0 (we have characteristic 2).

Then  $a + a = a \cdot (1 + 1) = a \cdot 0 = 0$  and  $b + b = 0$ .

+	0	1	a	b
0	0	1	a	b
1	1	0		
a	a	0		
b	b	0		

+	0	1	a	b
0	0	1	a	b
1	1	0	b	a
a	a	b	0	1
b	b	a	1	0

$a + 1 = a$  is false (would imply  $1 = 0$ ) and  $a + 1 = 1$  is false (would imply  $a = 0$ ).

$a + 1 = 0$  is false since  $0 = 1 + 1$  due to the characteristic and then  $a + 1 = 0 = 1 + 1$  would imply  $a = 1$ .

Leaves us with  $a + 1 = b$  and thus also  $1 + a = b$ .

We fill in remaining values to use every value in every column and row.

### 3. Galois Fields

## Galois Field GF(4) Construction (3)

Now let's do the multiplication table! what about  $a \cdot a$ ?

$a \cdot a$  cannot be 0 since then  $a = 0$ .

$a \cdot a$  cannot be  $a$  since then  $a = 1$

Leaves us with possibilities  $a \cdot a = 1$  or  $a \cdot a = b$ .

Now we know  $a + 1 = b$ .

This means  $(a + 1) \cdot (a + 1) = b \cdot b$ .

But  $(a + 1) \cdot (a + 1) = a \cdot a + a + a + 1 = a \cdot a + 1$ .

If we assume  $a \cdot a = 1$  we then get by above result  $b \cdot b = 1 + 1 = 0$  and so  $b = 0$ , so this can be excluded. Leaves us with  $a \cdot a = b$ .

From  $b = a + 1$  we get  $b \cdot b = (a + 1) \cdot (a + 1)$  and this is  $a \cdot a + 1$ . With  $a \cdot a = b$  we get  $b \cdot b = a$ .

## Galois Field GF(4) Construction (4)

Filling these results in and again completing rows and columns by a similar argument as before, we get:

.	0	1	a	b
0	0	0	0	0
1	0	1	a	b
a	0	a	b	1
b	0	b	1	a

**So:** What have we learned thus far?

### 3. Galois Fields

## Galois Field GF(4)

+	0	1	a	b
0	0	1	a	b
1	1	0	b	a
a	a	b	0	1
b	b	a	1	0

.	0	1	a	b
0	0	0	0	0
1	0	1	a	b
a	0	a	b	1
b	0	b	1	a

We can write this with different symbols, which looks brain-damaged. Only 0 and 1 keep their meaning as neutral elements, the meaning of the others can be kept (partially) for addition but not for multiplication.

+	0	1	2	3
0	0	1	2	3
1	1	0	3	2
2	2	3	0	1
3	3	2	1	0

.	0	1	2	3
0	0	0	0	0
1	0	1	2	3
2	0	2	3	1
3	0	3	1	2

# Task: Division and Subtraction Tables

**Task:** Produce the tables for the division and the subtraction operations for  $GF(2)$ ,  $GF(3)$  and  $GF(4)$ .

## Construction of General Galois Fields

## Observations:

- $GF(p)$  is well understood as field  $\mathbb{Z}_p$  for prime  $p$
- $GF(4)$  could be constructed in a unique way.
- Other Galois Fields allow a variability in their construction.
- Thus, we first get several different  $GF(p^k)$ .
- Further analysis then shows that they are isomorphic.
- Isomorphic means: They are identical as structure after renaming.

+	0	1	.	0	1
0	0	1	0	0	0
1	1	0	1	0	1

iso to

+	a	x	.	a	x
a	a	x	a	a	a
x	x	a	x	a	x

iso to

+	x	a	.	x	a
x	x	a	x	x	x
a	a	x	x	a	a

Two fields  $(\mathbb{F}_1, +_1, \cdot_1)$  and  $(\mathbb{F}_2, +_2, \cdot_2)$  are called **isomorphic**, iff there exists a bijective function  $f: \mathbb{F}_1 \rightarrow \mathbb{F}_2$  such that  $f(x +_1 y) = f(x) +_2 f(y)$        $f(x \cdot_1 y) = f(x) \cdot_2 f(y)$

# General Construction Method

 $GF(p^k)$ 

- Find an irreducible polynomial  $P$  of degree  $k$ .
- $GF(p^k)$  is the quotient  $GF(p)[\mathbb{X}]/P$
- Find the set by looking at the  $2^k$  elements  $0, 1, \mathbb{X}^1, \mathbb{X}^2, \dots, \mathbb{X}^{2^k-2}$
- Find the calculation rules by working with these representations and reducing modulo  $P$  where appropriate.

**Good news:** Since the coefficients are chosen from a finite set, irreducibility is decidable in finitely many steps.

**Bad news:** Doing so for humans might be a bit involved for the general case.

**Good news:** A bit of algebra often can help us speed this up.

**Example:** Let's do this for  $GF(8)$ .

# Finding Irreducible Polynomials (1)

There are  $8 = 2^3$  polynomials of degree 3. Find the irreducible ones!

First discard those, where we see a factoring.

$\mathbb{X}^3$	$\mathbb{X}^2 \cdot \mathbb{X}$	not irreducible due to factoring candidate – I see no factoring
$\textcolor{red}{\mathbb{X}^3 + 1}$		not irreducible due to factoring candidate – I see no factoring
$\mathbb{X}^3 + \mathbb{X}$	$\mathbb{X} \cdot (\mathbb{X}^2 + 1)$	not irreducible due to factoring candidate – I see no factoring
$\textcolor{red}{\mathbb{X}^3 + \mathbb{X} + 1}$		not irreducible due to factoring candidate – I see no factoring
$\mathbb{X}^3 + \mathbb{X}^2$	$\mathbb{X}^2 \cdot (\mathbb{X} + 1)$	not irreducible due to factoring candidate – I see no factoring
$\textcolor{red}{\mathbb{X}^3 + \mathbb{X}^2 + 1}$		not irreducible due to factoring candidate – I see no factoring
$\mathbb{X}^3 + \mathbb{X}^2 + \mathbb{X}$	$\mathbb{X} \cdot (\mathbb{X}^2 + \mathbb{X} + 1)$	not irreducible due to factoring candidate – I see no factoring
$\textcolor{red}{\mathbb{X}^3 + \mathbb{X}^2 + \mathbb{X} + 1}$		not irreducible due to factoring candidate – I see no factoring

Even when we do not see a factoring, still a factoring might exist!

# Finding Irreducible Polynomials (2)

## Factoring theorem

A polynomial  $P \in \mathbb{F}[\mathbb{X}]$  over a field  $\mathbb{F}$  **has a zero** at  $a \in \mathbb{F}$  (this means  $P(a) = 0$ ) if and only if  $\mathbb{X} - a$  is a **factor** of  $P$  (this means there exists a  $Q \in \mathbb{F}[\mathbb{X}]$  that  $P$  can be written as  $P = (\mathbb{X} - a) \cdot Q$ ).

**Example:**  $\mathbb{X}^3 + 1$  has a zero at  $\mathbb{X} = 1$  since  $1 + 1 = 0$  in  $GF(2)$ .

Polynomial division produces a factoring:  $\mathbb{X}^3 + 1 = (\mathbb{X}^2 + \mathbb{X} + 1) \cdot (\mathbb{X} - 1)$ .

**Note:**  $\mathbb{X} - 1 = \mathbb{X} + 1$  since we are in  $GF(2)$ .

# Task: Reducible and Irreducible Polynomials

**Task 1:** Show that  $\mathbb{X}^3 + \mathbb{X}^2 + \mathbb{X} + 1$  is reducible.

- Even if you see a factoring with your “naked eye”, use the factoring theorem!

**Task 2:** Show that  $\mathbb{X}^3 + \mathbb{X} + 1$  and  $\mathbb{X}^3 + \mathbb{X}^2 + 1$  are irreducible.

- Hint: Use factoring theorem and look at the degree of the polynomial.
- Why would we need to have a look at the degree?

# Constructing GF(8)

$$GF(2^3) \sim \{0, \mathbb{X}^0, \mathbb{X}^1, \mathbb{X}^2, \mathbb{X}^3, \mathbb{X}^4, \mathbb{X}^5, \mathbb{X}^6\}/P$$

For doing calculations we need to fix an irreducible polynomial  $P$  in  $GF(2)[\mathbb{X}]$ .

We can take  $\mathbb{X}^3 + \mathbb{X} + 1$  or  $\mathbb{X}^3 + \mathbb{X}^2 + 1$ .

We decide for  $\mathbb{X}^3 + \mathbb{X} + 1$ .

**Question:** What is  $\mathbb{X}^7$ ?

- Want to know why this does not continue beyond  $\mathbb{X}^6$ ?
- Want to know what  $\mathbb{X}^3 \cdot \mathbb{X}^4$  is (or  $\mathbb{X}^2 \cdot \mathbb{X}^5$ ).

**Answer:** For  $\mathbb{X}^7$  we get:  $\mathbb{X}^7 = 1 = \mathbb{X}^0$ .

## Constructing GF(8)

$$\begin{aligned}
 & \mathbb{X}^7 \sim \mathbb{X}^7 - \mathbb{X}^4 \cdot (\mathbb{X}^3 + \mathbb{X} + 1) && \text{may subtract multiple of ideal generating polynomial} \\
 & = \mathbb{X}^7 - \mathbb{X}^7 - \mathbb{X}^5 - \mathbb{X}^4 = \mathbb{X}^5 + \mathbb{X}^4 && \text{algebra and specifics of GF(2)} \\
 & \sim \mathbb{X}^5 + \mathbb{X}^4 - \mathbb{X}^2 \cdot (\mathbb{X}^3 + \mathbb{X} + 1) && \text{may subtract multiple of ideal generating polynomial} \\
 & = \mathbb{X}^5 + \mathbb{X}^4 - \mathbb{X}^5 - \mathbb{X}^3 - \mathbb{X}^2 = \mathbb{X}^4 + \mathbb{X}^3 + \mathbb{X}^2 && \text{algebra and specifics of GF(2)} \\
 & \sim \mathbb{X}^4 + \mathbb{X}^3 + \mathbb{X}^2 - \mathbb{X} \cdot (\mathbb{X}^3 + \mathbb{X} + 1) && \text{may subtract multiple of ideal generating polynomial} \\
 & = \mathbb{X}^4 + \mathbb{X}^3 + \mathbb{X}^2 - \mathbb{X}^4 - \mathbb{X}^2 - \mathbb{X} = \mathbb{X}^3 - \mathbb{X} = \mathbb{X}^3 + \mathbb{X} && \text{algebra and specifics of GF(2)} \\
 & \sim \mathbb{X}^3 + \mathbb{X} - (\mathbb{X}^3 + \mathbb{X} + 1) = -1 = 1 && \text{algebra and specifics of GF(2)}
 \end{aligned}$$

### 3. Galois Fields

## Providing an Encoding for GF(8)

We want our calculation rules in “nicer” encoding.

$\{0, 1, a, b, c, d, e, f\}$  works here and is fine.

$\{0, 1, 2, 3, 4, 5, 6, 7\}$  works for arbitrary large values and thus is preferred.

The elements then are as follows:

R	$R \bmod P$	Vec	Bin	Why?
0	0	(0, 0, 0)	0	Nothing to say
$\mathbb{X}^0$	1	(0, 0, 1)	1	“trivial”
$\mathbb{X}^1$	$\mathbb{X}^1$	(0, 1, 0)	2	Nothing to say
$\mathbb{X}^2$	$\mathbb{X}^2$	(1, 0, 0)	4	Nothing to say
$\mathbb{X}^3$	$\mathbb{X} + 1$	(0, 1, 1)	3	$\mathbb{X}^3 \sim \mathbb{X}^3 - (\mathbb{X}^3 + \mathbb{X} + 1) = \mathbb{X} + 1$
$\mathbb{X}^4$	$\mathbb{X}^2 + \mathbb{X}$	(1, 1, 0)	6	Student task: Fill in!
$\mathbb{X}^5$	$\mathbb{X}^2 + \mathbb{X} + 1$	(1, 1, 1)	7	Student task: Fill in!
$\mathbb{X}^6$	$\mathbb{X}^2 + 1$	(1, 0, 1)	5	Student task: Fill in!

### 3. Galois Fields

## Deriving Calculation Rules in GF(8)

R	$R \bmod P$	Bin
0	0	0
$\mathbb{X}^0$	1	1
$\mathbb{X}^1$	$\mathbb{X}$	2
$\mathbb{X}^2$	$\mathbb{X}^2$	4
$\mathbb{X}^3$	$\mathbb{X} + 1$	3
$\mathbb{X}^4$	$\mathbb{X}^2 + \mathbb{X}$	6
$\mathbb{X}^5$	$\mathbb{X}^2 + \mathbb{X} + 1$	7
$\mathbb{X}^6$	$\mathbb{X}^2 + 1$	5

Watch out:

Do not confuse a 1 as element of the coefficient domain  
 $GF(2) = \mathbb{Z}_2$   
with a 1 which serves as an encoding of a polynomial in  $GF(8)$ .

The same warning applies for all other values!

$$2 + 2 = \mathbb{X}^1 + \mathbb{X}^1 = 0 \qquad \text{true in } GF(8), \text{ false in } \mathbb{N}$$

$$2 + 3 = \mathbb{X}^1 + (\mathbb{X} + 1) = +1 \qquad \text{true in } GF(8)$$

$$2 \cdot 3 = \mathbb{X} \cdot (\mathbb{X} + 1) = \mathbb{X}^2 + \mathbb{X} = 6 \qquad \text{true in } GF(8) \text{ and coincidentally in } \mathbb{N}$$

$$3 \cdot 5 = 4 \qquad \text{true in } GF(8), \text{ coincidentally false in } \mathbb{N}$$

### 3. Galois Fields

## GF(8) Addition and Multiplication Table

+	0	1	2	3	4	5	6	7
0	0	1	2	3	4	5	6	7
1	1	0						
2	2		0	1				
3	3		1	0				
4	4			0				
5	5			0				
6	6			0				
7	7				0			

.	0	1	2	3	4	5	6	7
0	0	0	0	0	0	0	0	0
1	0							
2	0				6			
3	0			6			4	
4	0							
5	0				4			
6	0							
7	0							

# Task: Complete GF(8)

**Task 1:** Fill in the missing “Why?” fields in the encoding table above!

**Task 2:** Fill in addition and multiplication table for  $GF(8)$  with our encoding.

- **Hint:** You have to do thus once yourself to get a “feel” for this.
- **Hint:** Smartly exploiting algebraic properties & symmetries reduces your work load.

**Task 3:** Generate a table with a different encoding and show that it is isomorphic.

- For the **brave**: Take the path using the other irreducible polynomial.
- For the **timid**: Cheat: <https://www.wolframalpha.com/input/?i=GF%288%29>
- Only for those wanting to be the **best**: Take both paths and compare.
- Now find a suitable function  $F: \{0, 1, 2, 3, 4, 5, 6, 7\} \rightarrow \{0, 1, a, b, c, d, e, f\}$  and demonstrate that it is an isomorphism.

## 4. Lagrange Interpolation

Interpolation constructs functions through points.

Lagrange interpolation does this for polynomials.

It works in arbitrary fields, thus also in Galois fields.

### 1. Some Algebra Basics

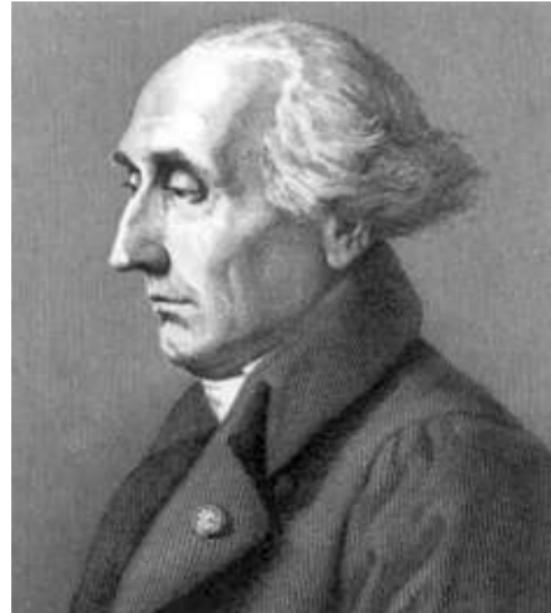
### 2. Polynomials

### 3. Galois Fields

### 4. Lagrange Interpolation

## 4. Lagrange Interpolation

### Joseph-Louis Lagrange



**Fig. 2: Joseph-Louis Lagrange** (1763 – 1813), Italian astronomer and mathematician, whose most important contributions were in the field of theoretical mechanics. When during the French revolution many of his peers in nobility were executed, he was specifically exempted. He commented the execution of his colleague, the chemist Antoine Lavoisier, with the bitter words: “*It took only a moment to cause this head to fall and a hundred years will not suffice to produce its like.*” © Rights see appendix.

## 4. Lagrange Interpolation

### Theorem of Lagrange Interpolation

Let  $(\mathbb{F}, +, \cdot)$  be a field,  $n \in \mathbb{N}$  be a natural number.

Let  $x_0, \dots, x_n$  be  $n+1$  pairwise different values  $x_i \in \mathbb{F}$  of the field and  $y_0, \dots, y_n$  be  $n+1$  arbitrary values  $y_i \in \mathbb{F}$  of the field.

Then there exists a polynomial  $P \in \mathbb{F}[\mathbb{X}]$  with degree at most  $n$  such that

$$\forall i : P(x_i) = y_i$$

Above,  $P(x_i)$  denotes the substitution of  $x_i$  for  $\mathbb{X}$  in polynomial  $P$ .

## 4. Lagrange Interpolation

### Lagrange Basis Polynomials

For  $n \in \mathbb{N}$  fixed and  $j = 0, 1, \dots, n$  define the **Lagrange basis polynomial**  $L_j$  as

$$L_j := \prod_{\substack{0 \leq k \leq n \\ k \neq j}} \frac{\mathbb{X} - x_k}{x_j - x_k} \quad \text{which yields} \quad L_j(x_k) = \delta_{j,k} = \begin{cases} 0 & \Leftrightarrow j \neq k \\ 1 & \Leftrightarrow j = k \end{cases}$$

For  $n = 2$  this is:

$$L_0 = \frac{\mathbb{X} - x_1}{x_0 - x_1} \cdot \frac{\mathbb{X} - x_2}{x_0 - x_2}$$

$$L_0(x_0) = \frac{x_0 - x_1}{x_0 - x_1} \cdot \frac{x_0 - x_2}{x_0 - x_2} = 1$$

$$L_0(x_1) = \frac{x_1 - x_0}{x_0 - x_1} \cdot \frac{x_1 - x_2}{x_0 - x_2} = 0$$

$$L_0(x_2) = \frac{x_2 - x_1}{x_0 - x_1} \cdot \frac{x_2 - x_0}{x_0 - x_2} = 0$$

$$L_1 = \frac{\mathbb{X} - x_0}{x_1 - x_0} \cdot \frac{\mathbb{X} - x_2}{x_1 - x_2}$$

$$L_1(x_0) = \frac{x_0 - x_0}{x_1 - x_0} \cdot \frac{x_0 - x_2}{x_1 - x_2} = 0$$

$$L_1(x_1) = \frac{x_1 - x_0}{x_1 - x_0} \cdot \frac{x_1 - x_2}{x_1 - x_2} = 1$$

$$L_1(x_2) = \frac{x_2 - x_0}{x_1 - x_0} \cdot \frac{x_2 - x_0}{x_1 - x_2} = 0$$

**Pro Tip:** Write this down for  $n = 3$ .

# Lagrange Interpolation Polynomial

Finally we get the **Lagrange interpolation polynomial**

$$P = \sum_k y_k L_k = \sum_k y_k \prod_{\substack{0 \leq j \leq n \\ k \neq j}} \frac{\mathbb{X} - x_j}{x_j - x_k}$$

## 4. Lagrange Interpolation

### Task: Find Lagrange Interpolation Polynomials

**Task 1:** Over the field of the real numbers, find a polynomial passing through the points  $(0, 0), (1, 1), (2, 4)$ .

- **Hint:** This is easily done with the “naked” eye. Do it with the Lagrange method!

**Task 2:** Over the field of the real numbers, find a polynomial passing through the points  $(0, 0), (1, 1), (2, 2)$ .

- **Hint:** This is easily done with the “naked” eye. Do it with the Lagrange method!

**Task 3:** Over the field  $GF(8)$ , find a polynomial passing through the points  $(0, 1), (1, 1), (2, 4)$  where  $0, 1, 2, 4$  correspond to the encodings used above.

- **Hint:** Calculate using the encodings. Otherwise you have polynomials with polynomial coefficients.

**Task 4:** What is the largest number of points we can interpolate in a finite field?

- **Hint:** Can we find a polynomial over the field  $GF(4)$  which passes through 6 points?

# Appendix

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@misc{doc:1033-1010,
    author      = {Clemens H. Cap},
    title       = {Finite Fields},
    year        = {2021},
    month       = {6},
    howpublished = {Electronic document},
    url         = {https://iuk.one/1033-1010}
}
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## Typographic Information:

Typeset on June 6, 2021

This is pdfTeX, Version 3.14159265-2.6-1.40.21 (TeX Live 2020) kpathsea version 6.3.2

This is pgf in version 3.1.5b

This is preamble-slides.tex myFormat©C.H.Cap

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